



Serial No. 09/410,825

Attorney Docket No. 027756/0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Handwritten signature/initials: ADY/F

Applicant: Arthur W. CHAFFEE
Title: INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD
Application No.: 09/410,825
Filing Date: 1 October 1999
Examiner: N. SUBRAMANIAN
Art Unit: 3624

REPLY TO OFFICIAL ACTION OF 13 JANUARY 2004
UNDER 37 CFR §1.111

Mail Stop NON-FEE AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby amends the claims of this application as indicated
below: